PATENT APPLICATION



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e application of:

Attorney Docket No.: 2842.43US01

Shigeo Kittaka et al.

Confirmation No.: 5168

Application No.:

10/565,012

Examiner: Unknown

Filed:

July 5, 2006

Group Art Unit: 2817

For:

PHOTONIC CRYSTAL WAVEGUIDE, HOMOGENEOUS MEDIUM WAVEGUIDE,

AND OPTICAL DEVICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.56, and in addition to information disclosed in Applicants' Information Disclosure Statement filed October 5, 2006, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The listing of a reference herein is not an admission that the reference is prior art or that the reference is material to patentability.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required.

An English language abstract is provided for the reference JP 2003-215362. The concise relevance of this reference is provided by said abstract. An English language equivalent of this

Application No. 10/565,012

reference (US 2003/0142385 A1) was provided in the Information Disclosure Statement filed on October 5, 2006.

Respectfully submitted,

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Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 16-0631.

CERTIFICATE OF MAILING

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Douglas J. Christensen

Substitute for form 1449/PTO PE AND FORMATION DISCLOSURE STATEMENT BY APPLICANT						Complete if Known				
					A	Application Number		10/565,012		
					Fi	Filing Date		July 5, 2006		
STATEMENT BY APPLICANT					Fi	First Named Inventor		Shigeo Kittaka		
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U.S. PATENT DOCUMENTS										
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Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.